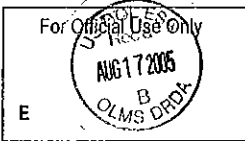


# FORM LM-30

## LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <u>8824</u> <u>16024</u>	2. Fiscal Year Covered From: <u>1</u> / <u>1</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / <u>2004</u>
3. Name and address of person filing. Name <u>Stephen</u> <u>J</u> <u>Jackson</u> P.O. Box, Bldg., Room No., if any Street <u>14420 Townsend Road, Suite A</u> City <u>Philadelphia</u> State <u>Pennsylvania</u> ZIP Code + 4 <u>19154</u>	4. Name, file number, and address of labor organization. Name <u>Steamfitters Local Union No. 420</u> Labor Organization File Number <u>001-114</u> P.O. Box, Building and Room Number, if any Street <u>14420 Townsend Road, Suite A</u> City <u>Philadelphia</u> State <u>Pennsylvania</u> ZIP Code + 4 <u>19154</u>
5. Position in labor organization. <u>Assistant Business Manager</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name Trade Name, if any: P.O. Box, Bldg., Room No., if any Street City State ZIP Code + 4	7.a. Nature of Interest, Transaction, or Income.  7.b. Amount.

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)		
Signed <u>Stephen J Jackson</u>	On <u>8 8 05</u> Date	<u>267-350-4200</u> Telephone Number

Name of Person Filing Stephen Jackson

File Number U-

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name Steamfitters LU No 420 Appren. Training Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 14420 Townsend Road, Suite B

City Philadelphia

State Pennsylvania ZIP Code + 4 19154

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

## 11.a. Nature of such dealing.

See attached.

## 11.b. Approximate dollar value of such dealing.

## 12.a. Nature of interest held or income received.

Amounts for expenses related to attendance at training meetings.

## 12.b. Amount.

\$1,800

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

## 13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name Fifth Third Asset Management Inc.

Trade Name, if any:

P.O. Box, Bldg., Room No., if any Gulf Tower, MD AGTB 20

Street 707 Grant Street, Suite 2000

City Pittsburgh

State Pennsylvania ZIP Code + 4 15219

## 14.a. Nature of payment.

Dinner meeting on 6/28/04.

13.b. Is the Business an Employer ☒ or Consultant ☐ ?

## 14.b. Amount of payment.

\$39

**Part B Continuation Page**

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

**8. Name and address of Business (including trade name, if any).**Name **RBC Dain Rauscher, Inc.**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any **6 Tower Bridge**Street **181 Washington Street, Suite 500**City **West Conshocken**State **Pennsylvania** ZIP Code + 4 **19428****9. Business deals with:**☐ a. Labor Organization☒ b. Trust☐ c. Employer**10. If 9.b. or 9.c. is checked give trust or employer's name.**Name **Steamfitters LU No 420 Benefit Plans**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street **14420 Townsend Road, Suite B**City **Philadelphia**State **Pennsylvania** ZIP Code + 4 **19154****11.a. Nature of such dealing.**

Provided investment consulting services for assets of the plans. Trusts reflected in item 10 are the Steamfitters LU No 420 Pension, Supplemental Retirement and Health & Welfare Plans.

**11.b. Approximate dollar value of such dealing.****\$100,000****12.a. Nature of interest held or income received.**

Dinner meeting on 10/25/04.

**12.b. Amount.****\$100**

## Part C Continuation Page

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1825 K Street N.W.

City Washington

State District of Columbia ZIP Code + 4 20006

14.a. Nature of payment.

Dinner meeting on 2/24/04

13.b. Is the Business an Employer ☒ or Consultant ☐ ?

14.b. Amount of payment.

\$84

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.

## LM-30 Attachment

Name: Stephen J Jackson  
LM-30 File Number: To be assigned

Ending date of report period: 12/31/04

LM-30 Item  
Number

8, Per direction provided by U.S. DOL OLMS, Part B includes reporting of transaction(s)  
9, including reimbursement of valid expenses by a trust in which the labor organization is  
11a interested as though the trust was a business. The information for item 11b is not in  
and, my possession.  
11b